



Code: QA237
Title: **Open Access to Research Outputs**
Date: 01/10/2015
Approval: Academic Council

1.0 Purpose

To ensure open access to, and long-term preservation of, the University's research outputs to the maximum extent possible.

2.0 Description

NUI Galway is committed to sharing the findings of its research as widely as possible to enhance its use and impact within the academic and research community and more widely within society. The University wants to ensure timely and accurate open access to publicly funded research and to meet the requirements of funder mandates in this regard. It also recognises the research publications of its staff as a major institutional asset whose long-term preservation needs to be ensured.

Open access is an internationally recognised approach to the dissemination of research outputs that complements and extends the established practices and rigorous selection of publications in peer reviewed journals and elsewhere. It makes research outputs accessible free of charge online and without subscription barriers, sometimes after an agreed period has elapsed since initial publication.

Advantages of open access for authors include higher levels of citation, easy discovery through global search engines such as Google and harvesting services like Rian, and long-term preservation of outputs. Wider exposure of our research enhances the University's reputation and broadens its international recognition. Research funders achieve maximum public impact.

By publishing an open access policy NUI Galway joins [over 500 universities](#) worldwide and supports the *National Principles for Open Access Policy Statement Ireland*¹. This document aligns with European University Association guidelines for institutional policies². It sets out the application of the University's policy, the procedures for achieving open access publication and institutional preservation of publications, the responsibilities of the parties involved and sources of assistance.

2.1 Application

This policy applies to all members of staff employed by NUI Galway.

Authors of peer-reviewed articles and peer-reviewed conference papers resulting from research carried out at NUI Galway must deposit a copy in the [ARAN](#) (Access to Research at NUI Galway) repository. The final manuscript version should be deposited upon acceptance for publication.

The deposit of copies of other publications, such as books, book chapters or reports, and datasets associated with published research, is strongly encouraged. A separate policy covers doctoral theses.

¹ <http://tinyurl.com/pxvrd68>

² <http://tinyurl.com/p9outjy>

The objective is open access publication at the earliest date possible but embargoes will be applied as required, for example to protect confidentiality, support commercialisation opportunities or meet legal obligations.

2.2 Procedures

At the time of acceptance for publication, please email the full-text file for the final manuscript version³ of your publication to aran@nuigalway.ie

On receipt of your file, the Library will:

- Convert it to PDF to facilitate display and preservation
- Verify that the final manuscript version has been deposited
- Establish the open access publication rights specified by the publisher of the item
- Apply appropriate embargoes, copyright and licence statements
- Upload the full-text file when claimed on IRIS* and transfer the IRIS metadata to ARAN
- Make metadata publicly available immediately
- Publish the final manuscript version on open access at the earliest date possible, taking account of any restrictions placed by the publisher or authors
- Explicitly promote citation of the official, published version
- Link from your IRIS publications profile to the open access version in ARAN
- Facilitate harvesting by other repositories

*IRIS, the Institutional Research Information System⁴, indexes most NUI Galway publications; when your publication is indexed you will be prompted by email to claim it on IRIS

The Library monitors claimed publications and will upload the full-text file you provided earlier. For publications not automatically indexed by IRIS, you will need to add the publication details

3.0 Responsibilities

Name	Responsibility
Registrar	Policy Owner
Library	Manage the ARAN open access repository and publication/preservation Provide training and advice on open access publication and author rights Report on policy compliance and usage and impact of ARAN publications
Research Office	Manage the IRIS institutional publications database Chair Open Access Policy monitoring, advisory and interpretation group Report compliance with funder policies
Authors	Supply the final manuscript version of publications to Library Claim publications as prompted when indexed by IRIS. Comply with funder policies regarding open access

4.0 Contacts

Trish Finnan	Digital Publishing and Data Management Librarian	ext. 5961	trish.finnan@nuigalway.ie
Noreen Goggin	RSS Database Coordinator	ext. 5168	noreen.goggin@nuigalway.ie

³ Final manuscript of the publication, after peer review and editing has occurred, but before publication. This is also known as a post-print and is usually a text file without the publisher's typesetting and formatting.

⁴ <http://www.nuigalway.ie/research-office/onlinesystems/iris/>